

shunliuple

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FILED
DISTRICT COURT OF GUAM

JUN 03 2008^W

JEANNE G. QUINATA
Clerk of Court

IN THE UNITED STATES DISTRICT COURT
FOR THE TERRITORY OF GUAM

UNITED STATES OF AMERICA,

Plaintiff,

vs.

SHUN YIN LIU,

Defendant.

MAGISTRATE CASE NO. 08-00006

PLEA AGREEMENT

Pursuant to Rule 11(c)(1)(B), the United States and the defendant, SHUN YIN LIU, enter into the following plea agreement:

1. The defendant agrees to plead guilty to an Information charging him with Illegal Entry, as a misdemeanor, in violation of Title 8, United States Code, Section 1325(a)(1) and Title 6, United States Code, Sections 251 and 557.

2(a) The defendant, SHUN YIN LIU, further agrees to fully and truthfully cooperate with Federal law enforcement agents concerning their investigation of alien smuggling and other related offenses. Cooperation shall include providing all information known to defendant regarding any criminal activity, including but not limited to the offense to which he is pleading guilty. Cooperation will also include complying with all reasonable instructions from the United

1 States; submitting to interviews by investigators and attorneys at such reasonable times and
2 places to be determined by counsel for the United States; producing to the United States all non-
3 privileged documents (including claimed personal documents) and other materials in the
4 possession, custody or control of defendant requested by attorneys and agents of the United
5 States; and testifying fully and truthfully before any grand juries, hearings, trials or any other
6 proceedings where defendant's testimony is deemed by the United States to be relevant.

7 Defendant understands that such cooperation shall be provided to any state, territorial, local or
8 federal law enforcement agencies designated by counsel for the United States. Further, it is
9 understood and agreed that defendant shall not directly, indirectly, or intentionally disclose
10 anything defendant knows or had done concerning the United States' investigation to anyone
11 other than defendant's attorney. Defendant agrees to take no steps directly or indirectly to warn
12 any subject of this investigation that defendant, a subject or anyone else is being investigated.

13 2(b) The United States will make this cooperation known to the Court prior to the
14 defendant's sentencing. The defendant further understands that he remains liable and subject to
15 prosecution for any criminal schemes of which he does not fully advise the United States, or for
16 any material omissions in this regard.

17 2(c) This agreement is not contingent in any way upon the outcome of any investigation,
18 proceeding or subsequent trial. Thus, none of the rights and obligations described above are in
19 any way dependent upon a grand jury returning an indictment, a jury's verdict at any trial, or the
20 success of any prosecution.

21 2(d) Except as otherwise herein provided, and in particular in Paragraph 10, the United
22 States agrees not to prosecute defendant for any other non-violent offenses which were
23 committed in the District of Guam or the Northern Mariana Islands (CNMI) which defendant
24 reveals to Federal authorities during his cooperation with the United States. This agreement is
25 limited to crimes committed by defendant in the districts of Guam or the CNMI.

26 3. The defendant, SHUN YIN LIU, further agrees to submit to a polygraph examination
27 by any qualified Federal polygraph examiner. The defendant understands that such polygraph
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1 examinations may include, but will not be limited to, his knowledge of or involvement in alien
2 smuggling and his knowledge of other person's involvement in such activities. Defendant
3 understands that the government will rely on the polygraph in assessing whether he has been
4 fully truthful.

5 4(a) The defendant, SHUN YIN LIU, understands that the maximum sentence for
6 Unlawful Entry by Alien in violation of Title 8, U.S.C. § 1325(a)(1) is a term of six (6) months
7 imprisonment, a \$5,000 fine, and a \$10 special assessment fee, which must be paid at the time of
8 sentencing.

9 4(b) If defendant cooperates as set forth in Paragraphs 2 and 3, the government will
10 recommend that defendant receive a sentence of probation, as the advisory Sentencing
11 Guidelines do not apply to Class B misdemeanors. See Section 1B1.9 of Sentencing Guidelines.

12 4(c) The government will recommend a fine within the statutory range. If defendant is
13 financially unable to immediately pay the fine in full, defendant agrees to make a full disclosure
14 of his financial status to the United States Attorney's Office by completing a Financial Disclosure
15 Form (OBD-500) for purpose of fixing a monthly payment schedule. Defendant understands
16 that, by law, interest accrues on any remaining balance of the debt.

17 5. The defendant understands that to establish a violation of Illegal Entry as charged
18 pursuant to 8 U.S.C. § 1325(a)(1), the government must prove each of the following elements
19 beyond a reasonable doubt:

20 First: the defendant, who was then and there an alien, knowingly and unlawfully entered
21 the United States at a time and place other than as designated by immigration officials.

22 6. The Government and the defendant stipulate to the following facts for purposes of
23 sentencing:

24 (a) The defendant was born in 1946, and is a citizen of the People's Republic of China

25 (b) If the defendant cooperates with the United States by providing information
26 concerning the unlawful activities of others, the government agrees that any self-incriminating
27 information so provided will not be used against defendant in assessing his punishment, and
28 therefore, pursuant to § 1B1.3 of the Sentencing Guidelines, this information should not be used

1 in determining the applicable guidelines range.

2 (c) On March 25, 2008, defendant came by boat from China to Guam. The defendant was
3 an alien at the time of entry. The defendant arrived at the northern part of Guam, a time and
4 place not designated by United States immigration officials as an official port of entry.

5 (d) The defendant understands that notwithstanding any agreement of the parties, the
6 United States Probation Office will make an independent sentencing recommendation to the
7 Court.

8 7. The defendant understands that this plea agreement depends on the fullness and
9 truthfulness of his cooperation. Therefore, defendant understands and agrees that if he should
10 fail to fulfill completely each and every one of his obligations under this plea agreement, or make
11 material omissions or intentional misstatements or engage in criminal conduct after the entry of
12 his plea agreement and before sentencing, the government will be free from its obligations under
13 the plea agreement. Thus defendant, in addition to standing guilty of the matters to which he has
14 pled pursuant to this agreement, shall also be fully subject to criminal prosecution for other
15 crimes, and for the counts which were to be dismissed. In any such prosecution, the prosecuting
16 authorities, whether Federal, State, or Local, shall be free to use against him, without limitation,
17 any and all information, in whatever form, that he has provided pursuant to this plea agreement
18 or otherwise; defendant shall not assert any claim under the United States Constitution, any
19 statute, Rule 11(f) of the Federal Rules of Criminal Procedure, Rule 410 of the Federal Rules of
20 Evidence, or any other provision of law, to attempt to bar such use of the information.

21 8. Defendant understands that whether he has completely fulfilled all of the obligations
22 under this agreement shall be determined by the court in an appropriate proceeding at which any
23 disclosures and documents provided by defendant shall be admissible and at which the United
24 States shall be required to establish any breach by a preponderance of the evidence. In
25 determining whether there has been a breach, the parties agree that any polygraph results and the
26 polygrapher's conclusions and opinions shall be admissible.

27 9. The defendant understands that his sentencing may be continued, at the sole discretion
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1 of the United States, until after the indictment and trial of any associates involved. This will also
2 enable the Court to see the full degree of the defendant's cooperation. The defendant therefore
3 waives any right he may have to any speedy sentencing and hereby agrees to any continuance of
4 his sentencing date as it may become necessary.

5 10. In exchange for the government's concessions in this plea agreement, the defendant
6 waives any right to appeal or to collaterally attack this conviction but reserves the right to appeal
7 the sentence imposed in this case. The defendant understands and agrees that the government
8 has bargained for a criminal conviction arising from his criminal conduct. If at any time
9 defendant's guilty plea or conviction is rejected, withdrawn, vacated or reversed, for whatever
10 reason, or is rendered invalid for any reason, or if any change of law renders the conduct for
11 which he was convicted to be non-criminal, defendant agrees that he will enter a guilty plea to
12 another charge encompassing the same or similar conduct. In such event, defendant waives any
13 objections, motions or defenses based upon the Statute of Limitations, Speedy Trial Act, or
14 constitutional restrictions as to the time of bringing such charges.

15 11. The defendant acknowledges that he has been advised of his rights as set forth below
16 prior to entering into this plea agreement. Specifically, defendant has been fully advised of, has
17 had sufficient opportunity to reflect upon, and understands the following:

18 (a) The nature and elements of the charge and the mandatory minimum penalty provided
19 by law, if any, and the maximum possible penalty provided by law;

20 (b) His right to be represented by an attorney;

21 (c) His right to plead not guilty and the right to be tried by a jury and at that trial, the
22 right to be represented by counsel, the right to confront and cross-examine witnesses against him,
23 and the right not to be compelled to incriminate himself, that is, the right not to testify;

24 (d) That if he pleads guilty, there will not be a further trial of any kind on the charges to
25 which such plea is entered so that by entering into this plea agreement, he waives, that is, gives
26 up, the right to a trial;

27 (e) Because this plea is entered pursuant to Federal Rule of Criminal Procedure
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1 11(c)(1)(B), the defendant understands that he may not withdraw his guilty plea even if the court
2 does not accept the sentencing recommendations of the government or his counsel;

3 (f) That, upon entry of a plea of guilty, or thereafter, the Court may ask him questions
4 about the offenses to which he has pled, under oath, and that if he answers these questions under
5 oath, on the record, his answers may later be used against him in prosecution for perjury or false
6 statement if an answer is untrue;

7 (g) That he agrees that the plea agreement is voluntary and not a result of any force,
8 threats or promises apart from this plea agreement;

9 (h) The defendant is satisfied with the representation of his lawyer and feels that his
10 lawyer has done everything possible for his defense.

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1 (i) The defendant has had this plea agreement translated into his native language and he
2 fully understands it.

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4 DATED: 5/27/08

5 
SHUN YIN LIU
Defendant

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7 DATED: 5/27/08


8 
LOUIE J. YANZAK
Attorney for Defendant

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10 LEONARDO M. RAPADAS
United States Attorney
Districts of Guam and NMI

11
12 DATED: 5/28/08

13 By: 
FREDERICK A. BLACK
Assistant U.S. Attorney

14
15
16 DATED: 5/28/08

17 
JEFFREY J. STRAND
First Assistant U.S. Attorney